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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEY DOCKET NO .:

41577/263691

SERIAL NO.:

09/937,066

MATERIAL INFORMATION STATEMENT

(Use several sheets if necessary)

APPLICANT: H. Alpar, et al.

FILING DATE: September 20, 2001 GROUP:

Unknown

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	5,585,106	12/17/96	Gristina, et al.				
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FOREIGN PATENT DOCUMENTS

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
QQ (1	WO 9720576	06/12/97	WIPO/PCT				
7	WO 96 10421	04/11/96	WIPO/PCT				
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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)



A. Kotze, et al., "Enhancement of Paracellular Drug Transport with Highly Quaternized N-Trimethyl Chitosan Chloride in Neutral Environments: In Vitro Evaluation in Intestinal Epithelial Cells (Caco-2)," Journal of Pharmaceutical Sciences, Vol. 88, No. 2, February 1999, pp. 253-257.

EXAMINER:

DATE CONSIDERED: A Preference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in considered. Include copy of this form with next communication to applicant.

EXAMINER: Initial conformance and not considered. Include copy of this form with next communication to applicant.

U.S. DEPARTMENT OF COMMERCE ATTORNEY DOCKET NO .: SERIAL NO.: 41577/263691 09/937,066 PATENT AND TRADEMARK OFFICE APPLICANT: H. Alpar, et al. MATERIAL INFORMATION STATEMENT (Use several sheets if necessary) FILING DATE: GROUP: September 20, 2001 Unknown **U.S. PATENT DOCUMENTS** FILING DATE IF APPROPRIATE DOCUMENT NUMBER EXAMINER INITIAL DATE NAME CLASS SUBCLASS RECEIVED NOV 2 0 2002 TECH CENTER | 600/2900 **FOREIGN PATENT DOCUMENTS** TRANSLATION YES NO DOCUMENT NUMBER EXAMINER INITIAL DATE COUNTRY SUBCLASS WO 99/01498 01/14/99 WIPO/PCT WO 98/30207 07/16/98 WIPO/PCT WO 96/05810 02/29/96 WIPO/PCT 057671 12/1/93 Europe (EPO)

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DATE CONSIDERED:

9 EXAMINER: Initial is reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.